

In re Application of: Katsumi Tsumishima

Serial No.: 09/451,979

Filed: November 30, 1999

For: FERROELECTRIC MEMORY AND METHOD FOR MANUFACTURING SAME

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

FEB 09 2000

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	14	MINUS	20	= 0
INDEP.	2	MINUS	3	=

SMALL ENTITY	
RATE	ADDL. FEE
x 9=	\$
x 39=	\$
x 130=	\$
TOTAL	\$

OTHER THAN A SMALL ENTITY	
RATE	ADDL. FEE
x 18=	\$0
x 78=	\$0
x 260=	\$0
TOTAL	\$0

☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS

☐ Please charge my Deposit Account No. 08-2461 in the amount of \$_____. A duplicate copy of this sheet is attached.

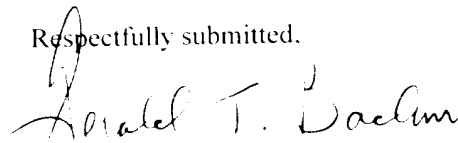
☒ A check in the amount of \$ 890.00 is attached. This check covers the fees for: 1) Application filing fee (\$760.00) and surcharge fee for filing a late Declaration (\$130.00).

☒ The Commissioner is hereby authorized to charge any fees or additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims.

☐ Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,


HOFFMANN & BARON, LLP
c/o Mr. T. Tsumishima

CER 3

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
Assistant Commissioner for Patents, Washington, D.C.

20231 on February 4, 2000

Dated: 2/4/2000

Susan L. Toledano



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Katsumi Sameshima

Examiner: Unassigned

Serial No.: 09/451,979

Group Art Unit: 1753

Filed: November 30, 1999

Docket: 362-39

For: FERROELECTRIC MEMORY
AND METHOD FOR
MANUFACTURING SAME

Dated: February 4, 2000

Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to the examination of this application, please amend the application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 1 thereof, delete "or 2".

Claim 4, line 1 thereof, change "any of claims 1 to 3" to --claim 1--.

Claim 5, line 1 thereof, change "any of claims 1 to 4" to --claim 1--.

Claim 8, line 1 thereof, delete "or 7".

Claim 9, line 1 thereof, delete "or 7".

Claim 12, line 1 thereof, change "any of claims 6 to 11" to --claim 6--.

Claim 13, line 1 thereof, change "any of claims 6 to 12" to --claim 6--.

Claim 14, line 1 thereof, change "any of claims 6 to 12" to --claim 6--.

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
Assistant Commissioner for Patents, Washington, D.C.

20231 on February 4, 2000

Dated: 2/4/00